# Final Advisory Memorandum

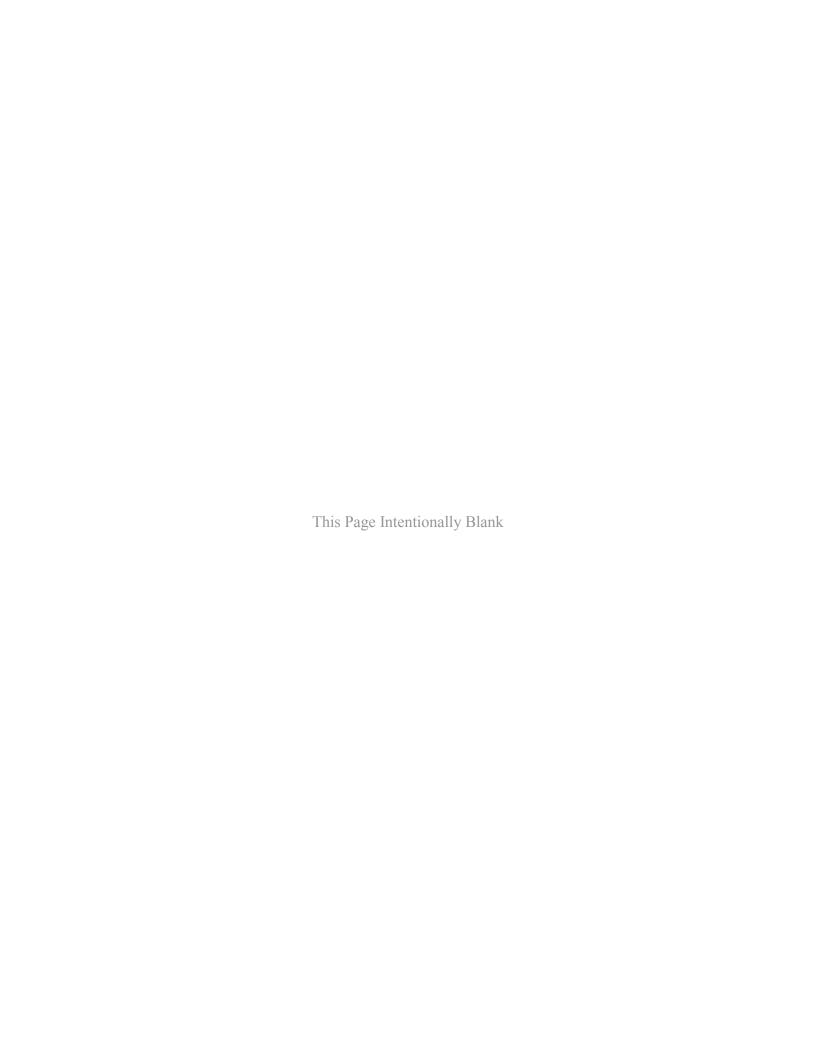
# Department of Correction and Rehabilitation Personnel Complaints and Allegations

Report # OIG-18-002

August 11, 2017

Montgomery County Maryland Office of the Inspector General







#### OFFICE OF THE INSPECTOR GENERAL

#### FINAL CONFIDENTIAL ADVISORY MEMORANDUM

August 11, 2017

**TO:** Timothy L. Firestine

Chief Administrative Officer

Robert Green

Director, Department of Correction and Rehabilitation (DOCR)

**FROM:** Edward L. Blansitt III

Inspector General

SUBJECT: DOCR Personnel Complaints and Allegations

#### **Introduction and Background**

During 2015, 2016, and 2017, the Office of the Inspector General (OIG) received numerous complaints concerning alleged improprieties by Department of Correction and Rehabilitation (DOCR) management with respect to the administration of personnel. The complaints included allegations of insensitive top management conduct; improper conduct with respect to a promotional examination; disparate treatment in personnel administration; insertion of false information in personnel and sick leave records; and improper supervisory direction to not file an incident report.

#### **Consultant's Review**

During our review of the complaints, the Montgomery County Office of Human Resources (OHR) and DOCR conducted a parallel inquiry with respect to allegations concerning the promotional examination. Subsequently, OHR engaged the services of a consultant. The consultant, utilizing an "Appreciative Inquiry" process, was to assess the "work environment" within the Montgomery County Correctional Facility (MCCF) with an eye toward developing "a strategy to ensure a successful organizational change management process." Specifically, the consultant was to (1) conduct a thorough assessment of the MCCF work environment and its

more than 300 employees and (2) lead an effort to explore and implement an organizational change management process at DOCR.

We suspended our review in July 2016, while the consultant conducted field work. Meanwhile, we continued to receive and consider employee complaints. We received complaints from personnel in both components of the Detention Services Division of DOCR: MCCF and the Montgomery County Detention Center (MCDC).

On March 6, 2017, the consultant briefed the OIG, DOCR management, and OHR on the status of her project. The findings were consistent with staff perceptions of:

- favoritism by DOCR management;
- lack of fair and consistent application of personnel rules and regulations; and
- disparate treatment with respect to the imposition of discipline.

To address the perceived problems/deficiencies and to implement corrective action, the consultant proposed establishing action groups to develop specific action steps to address the issues presented by staff. The consultant's action plan also proposed a staff retreat to elicit input from stakeholders.

#### Objectives, Scope, and Methodology

Initially, we intended to conduct a policies and procedures review of DOCR's administration of personnel. The objective of the review, among other things, was to assess the adequacy of those policies and procedures and whether they were applied consistently and fairly. Based on the complaints brought to our attention, the purpose of our review was adjusted to (1) investigate employee grievances of inequitable or improper treatment; (2) determine whether those grievances could be confirmed; (3) analyze the complaints to determine patterns in consistency of application of the policies and procedures; and (4) ultimately notify DOCR upper management of these employee concerns<sup>1</sup>.

The consultant is assessing the work environment at DOCR broadly from an organizational behavioral and cultural perspective; our inquiry focused on specific instances of misbehavior by individuals that might not be addressed by management under a broad approach.

Our review primarily entailed interviewing, in addition to the complainants, DOCR staff and other County employees, in an effort to verify the individual complaints. We also acquired and reviewed relevant and pertinent documentation, statistical data, rules, and regulations. We

<sup>&</sup>lt;sup>1</sup> In pursuing these objectives, we considered the existence or non-existence of internal controls within DOCR with respect to the administration of personnel, as well as the effectiveness of such.

interviewed more than twenty employees, including individuals both at the supervisory and non-supervisory levels. The DOCR employees interviewed are assigned to or have duty stations within various DOCR divisions and/or units.

Over time, we admittedly have become an independent "sounding board" for employee complaints and suspicions.

We considered relevant/applicable County personnel regulations (OHR and DOCR), DOCR disciplinary statistics, and administrative decisions related to DOCR disciplinary and/or hiring actions heard by the Merit System Protection Board.

#### **Specific Personnel Complaints That We Considered**

We aggregated various complaints into common themes, as follow. We found it difficult to objectively verify several of the complaints. However, we received similar complaints from multiple individual members of the DOCR staff.

#### Insensitive Top Management Conduct

Allegations: We received allegations of a Caucasian top manager during a meeting directing an African American staffer, in the presence of other staffers, to get him/her a bottle of water; a top manager interrupting a meeting in progress in which he/she was not participating, putting a foot on the desk around which the meeting was being held, using the telephone, and then exiting without apologizing in any way for this conduct; and a Caucasian top manager addressing an African American staffer as "good boy" in the presence of others during a retirement event.

<u>Resolution</u>: We obtained statements that corroborate instances of top management interacting with or addressing staff in an insensitive or degrading manner by rudely interrupting meetings, and evidence of a manager referring to a staffer in an offensive manner.

#### Improper Conduct in a Promotional Examination

<u>Allegations</u>: We received complaints alleging impropriety in the administration of a DOCR promotional examination in November 2015 by, among other things, an applicant receiving information in advance of the examination and the inappropriate influencing of the examination raters/scorers by others. It appears that those taking the promotional examination were neither adequately informed how their scores would be calculated, nor were they told how they ranked in scores.

<u>Partial Resolution</u>: OHR and DOCR jointly investigated the matter and issued a report, finding insufficient evidence to substantiate the complaints. However, we did verify that OHR and DOCR management failed to adequately inform applicants of the standards by which the applicants would be evaluated, and their scored ranking with respect to the examination results.

#### Disparate Treatment in Personnel Administration

<u>Allegations</u>: We received complaints alleging disparate treatment in the administration of personnel at DOCR. The disparate treatment matters related to or involved duty assignments and the imposition of discipline.

Specifically, as to duty assignments, it was alleged that the assignments in high risk/maximum security units of the correctional facility are made based on race and gender, resulting in only African American females being assigned to those units where female inmates are housed.

As to discipline, it was alleged that favored employees tend to receive less severe or no discipline for similar or more egregious acts.

<u>Lack of Resolution</u>: We were unable to objectively verify these complaints.

#### Information Improperly Included in Personnel and Sick Leave Records

Allegations: We received complaints alleging "unethical operating procedures" at DOCR, including the placement of false information in an employee personnel file, as well as that DOCR sick leave policy is neither clearly communicated nor equitably enforced. It was alleged that false, erroneous, or improper information was placed or maintained in an employee's personnel file and/or then taken into consideration with respect to promotion or selection for special assignment. Specifically, in one of these instances, it was alleged that non-disciplinary information consisting of a sick leave restriction and a non-work-related court matter were placed in an employee's file and then used as a basis for denying the employee a promotion.

The complaints concerning imposition of sick leave restrictions involved situations where individuals had been approved and granted sick or family leave and then were subsequently (months or days later) informed that they were being placed on sick leave restriction because they were deemed to have abused leave.

<u>Partial Resolution</u>: We were unable to independently substantiate and/or corroborate the improper placement of information in any employee's file.

As to leave recordkeeping, we understand that DOCR management is implementing an automated timekeeping /scheduling system to enhance transparency and establish real time

recordkeeping with respect to time and attendance; and to address concerns/problems in this regard.

#### Improper Supervisory Direction to Not File an Incident Report

<u>Allegation</u>: We received information concerning an allegedly unreported incident involving the use of force against an inmate at the MCCF.

Several complainants separately contacted us alleging that three correctional officers entered an inmate's cell following some improper behavior on the part of the inmate.

Related protocols and/or procedures as understood by the OIG through its inquiry into this incident require, at a minimum, that an incident report be filed whenever officers use force with respect to their oversight of inmates.

<u>Resolution</u>: We referred this matter to the County's Chief Administrative Officer and the Director of DOCR. The Director of DOCR assigned the matter to DOCR's Internal Affairs Investigator. DOCR confirmed (1) an inappropriate use of force by a correctional officer, and (2) a failure to report by the Sergeant in charge of the incident "as well as some of the other parties involved", when a report should have been filed.

#### **Decisions of the Merit System Protection Board**

As part of its inquiry, the OIG reviewed cases of DOCR disciplinary actions heard by the Merit System Protection Board (Board) for Fiscal Years (FYs) 2013, 2014, 2015 and 2016, the most recent years for which Board cases were reported. We found four cases in which the Board reviewed the merits of DOCR disciplinary actions in rendering its decisions out of a total of ten DOCR related matters reviewed by the Board. The other six matters involved procedural or hiring decisions unrelated to any sort of discipline. Our objective in conducting the review was to identify and acquire a sense of the merit or propriety of DOCR disciplinary actions from the perspective of an independent adjudicator such as the Board.

In two of the matters, the Board found an insufficient basis for fully upholding the imposed discipline. In the other two matters, the Board found that disparate discipline had been imposed by DOCR in one of the matters and an employee had been misled by DOCR concerning rights of appeal in the other. While we were not able to conclude or find that DOCR's actions in these matters were intentional, we do see how the instant Board decisions can, at a minimum, foster a perception of inequitable administration of personnel by DOCR management in the eyes of DOCR staff, warranting corrective action going forward.

#### **Comparative Analysis**

Statistical information acquired by OIG from DOCR appears to indicate that 17% of DOCR employees were cited for various disciplinary actions over a two-year period. We were not able to determine if this level of disciplinary actions is excessive or typical of similar institutions. Accordingly, we were unable to draw conclusions based on the data provided.

#### Conclusion/Recommendations

As demonstrated herein, we have received in recent years an unexpected number of complaints and allegations of DOCR management wrongdoing. These allegations support employee beliefs of favoritism and discrimination by management in the administration of personnel. These beliefs, whether accurate or not, again from our perspective based primarily on inquiries, appear to have clearly taken a toll on staff morale within DOCR. This toll cannot help but negatively affect the overall effectiveness, efficiency, and productivity of DOCR.

We conclude that the consultant's review is a constructive initial step.

We recommend that the action teams formed in the consultant's review focus on a few matters: development of a mechanism to ensure equity, consistency, and uniformity in imposing discipline; development of a viable communication system to inform and keep managers and staff abreast of personnel rules and regulations, particularly those concerning promotions; and development and implementation of reasonable performance metrics to assess progress.

With respect to the holding or conducting of a retreat to, among other things, allow for input from stakeholders in a group setting, we believe that it might be more beneficial to hold such a gathering after the formulation and development of specific plans and policies by the action teams.

In conclusion, any success in addressing the cited problems and the instituting of specific corrective action is contingent upon the development and implementation of policies and programs directed at the specific problem areas; and on, as the consultant termed, "ownership" of the needed changes by all stakeholders. Ultimately, all changes should provide for the establishment and maintenance of a fair and equitable personnel system that is evenly applied.

### Appendix A: Chief Administrative Officer's Response

The response of the Chief Administrative Officer, including attachments, follows in its entirety.



#### OFFICE OF THE COUNTY EXECUTIVE

Isiah Leggett County Executive

Timothy L. Firestine Chief Administrative Officer

#### MEMORANDUM

January 26, 2018

TO:

Edward L. Blansitt, Inspector General

FROM:

Timothy L. Firestine, Chief Administrative Officer 2. Lipestine

SUBJECT:

Response to Confidential Advisory Memorandum: DOCR Personnel Complaints and

Allegations

The Department of Correction and Rehabilitation (DOCR) has a critically important yet difficult mission in the criminal justice system. Each day, in a constitutional manner, DOCR is responsible for the complete care of a population of more than 2,250 individuals in various stages of custody and supervision.

We take DOCR's mission extremely seriously, and we challenge the assertion based on unsubstantiated allegations that DOCR is anything but a well-managed department. In fact, outside organizations regularly visit our facilities to learn and experience firsthand the best practices developed and implemented by the dedicated DOCR team who work diligently every day in a dangerous and complex environment. Furthermore, the department has been recognized and awarded numerous times for its innovative and outstanding work, most recently in 2017 with two awards from the National Association of Counties (NACo).

While we value your time and efforts regarding these personnel related complaints and allegations, we would appreciate if, in the future, you referred these matters not directly tied to waste, fraud, and abuse to my office for review and action.

Following are the responses to each area of the report:

#### Allegations of Insensitive Top Management Conduct:

We received allegations of a Caucasian top manager during a meeting directing an African American staffer, in the presence of other staffers, to get him/her a bottle of water; a top manager interrupting a meeting in progress in which he/she was not participating, putting a foot on the desk around which the meeting was being held, using the telephone, and then exiting without apologizing in any way for this conduct; and a Caucasian top manager addressing an African American staffer as "good boy" in the

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presence of others during a retirement event.

<u>CAO Response</u>: We have discussed these allegations with DOCR Management, and as a result, they are working to establish sensitivity training to be provided to those identified in the allegations, including additional staff as necessary, to ensure this behavior does not re-occur and to define the consequences for such actions should they re-occur.

#### Allegations of Improper Conduct in a Promotional Examination:

We received complaints alleging impropriety in the administration of a DOCR promotional examination in November 2015 by, among other things, an applicant receiving information in advance of the examination and the inappropriate influencing of the examination raters/scorers by others. Also, it appears that those taking the promotional examination were not adequately informed how their scores would be calculated, nor were they told how they ranked in scores.

**CAO Response:** The promotional process for DOCR is fully administered by the Office of Human Resources (OHR) and DOCR's participation is limited to check-in for testing and oversight proctoring as needed. This issue was twice investigated. The first investigation was a joint OHR and DOCR internal investigation into the allegations. That investigation did not sustain the complaint. As you know, the Office of the County Attorney (OCA) conducted a second investigation of the matter of impropriety in the administration of the DOCR promotional exam as alleged by an employee involved in the testing, to include testimony under oath, and again, found insufficient evidence to substantiate any of the allegations. The multiple allegations investigated were from a single complainant.

DOCR and OHR held the promotional list in abeyance until April 6, 2016, in recognition of the seriousness of these allegations while the investigation was completed, thus delaying promotions for all individuals on the promotional list. To close this matter, the specific allegations were:

- The complainant alleged that individuals participating in the promotional examination shared the
  oral interview questions with each other. The investigations found "no evidence "supporting this
  allegation.
- The complainant alleged that a computer was intentionally tampered with to cause the employee
  issues with that portion of the testing. The investigation found that standard operating procedures
  were followed by the individual external to DOCR responsible for the set-up of the computer
  testing room and that there was no tampering with the computers.
- The complainant alleged that the entire promotional testing process as administered by OHR was
  to be anonymous in all aspects. There was no evidence found that anonymity was a requirement of
  the process as advertised to staff in the Personnel Bulletin issued for the promotional testing
  process and that the allegation was "unsupportable".
- The complainant alleged that an oral interview assessor had been improperly influenced to provide lower scores to some individuals. Each assessor, who were all external to the County, was interviewed in each investigation and once under oath. They adamantly denied any such conversations or influence by anyone to influence their decisions in this process. OCA found there

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was insufficient information provided by the complainant that would allow them to separate rumor from fact

• Regarding allegations that the applicants were not adequately informed of how their scores would be calculated, pages three and four of the issued Personnel Bulletin for this testing fully explained the Final Promotional Score and establishment of the Promotional Eligibility List. The investigation determined that perhaps the instructions may have been confusing to some applicants. OHR modified the final scoring matrix of the promotional examination to be clearer for all participants. The current County promotional processes as administered by OHR does not provide for a ranking order of the applicants nor was it intended to do so. The Department receives a list of Well Qualified and Qualified applicants presented in alphabetical order.

Additionally, DOCR developed and held mentoring sessions for interested individuals wishing to seek promotions in the next round of promotional testing in 2017. These sessions were well received and attended by staff at multiple ranks. This is an excellent practice to assure the preparation and understanding of the process for all those participating in the process.

(Refer to Attachment #1: DOCR Mentoring Program for Promotional Testing)

#### Allegations of Disparate Treatment in Personnel Administration:

We received complaints alleging disparate treatment in the administration of personnel at DOCR. The disparate treatment matters related to or involved duty assignments and the imposition of discipline.

Specifically, as to duty assignments, it was alleged that the assignments in high risk/maximum security units of the correctional facility are made based on race and gender, resulting in only African American females being assigned to those units where female inmates are housed.

As to discipline, it was alleged that favored employees tend to receive less severe or no discipline for similar or more egregious acts.

CAO Response: As noted in your report, you were unable to objectively verify these complaints. Staffing assignment records were, however, reviewed in preparing my response. Correctional Officers bid their shift and facility yearly based on a long-held Union agreement of seniority. There are no bid criteria as to the gender and race of individuals on each shift. Each officer bids freely based on his/her choice. A six-month period of the records of Post Assignment were reviewed for the N2 Housing area in calendar year 2017. The Department must maintain post records of all assignments. There were 184 instances where individuals other than African American females were assigned to the post indicated. Post Assignment is a metric that is routinely reviewed by Command Staff. This is an area that is also periodically reviewed by the Labor Management Relations Committee (LMRC) as established with the Union when or if questions may arise. While staffing factors as previously mentioned have the potential to affect a completely equitable distribution (staff sick leave, training, emergency situations, etc.), the allegation that "only" African American females are assigned to this post is false.

Regarding the referenced disciplinary matters, we concluded that disciplinary matters at DOCR are administered based on the need to maintain a necessary level of safety and security for officers, inmates, employees and public, appropriate behavior and conduct, and the constitutional care of those committed to the custody of the County. Additionally, we confirmed that DOCR management,

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appropriately, does not participate in conversations with its staff concerning the disciplinary matters of others. DOCR fully supports and participates in the County Alternative Dispute Resolution (ADR) process when considering staff discipline. The Department follows a process of internal review to include the assigned County Attorney and review with their assigned Labor Management Specialist.

Allegations of Information Improperly Included in Personnel and Sick Leave Records:

We received complaints alleging "unethical operating procedures" at DOCR, including the placement of false information in an employee personnel file; as well as that DOCR sick leave policy is neither clearly communicated nor equitably enforced. It was alleged that false, erroneous, or improper information was placed or maintained in an employee's personnel file and/or then taken into consideration with respect to promotion or selection for special assignment. Specifically, in one of these instances, it was alleged that non-disciplinary information consisting of a sick leave restriction and a non-work-related court matter were placed in an employee's file and then used as a basis for denying the employee a promotion.

The complaints concerning imposition of sick leave restrictions involved situations where individuals had been approved and granted sick or family leave and then were subsequently (months or days later) informed that they were being placed on sick leave restriction because they were deemed to have abused leave.

**CAO Response:** DOCR follows the Collective Bargaining Agreement and County Personnel Regulations, as well as its internal policies in the management of personnel files and supervisory files. The personnel/personnel file matter in question is closed, and because the matter concerns a confidential personnel file, other than stating we were unable to independently substantiate the improper placement of information in any employee's file, we are not able to provide additional details. Sick leave restriction is supported by DOCR and County policy. DOCR has reviewed processes and has worked with the Union and OHR to assure personnel management and records processes are accurate and in accordance with County policies and guidelines.

When mistakes occur, they are addressed. On May 18, 2017, through a collaborative conversation and the LMRC process, DOCR and the Union agreed on a process that would place sick leave restriction documentation in the employees supervisory file for the duration of the restriction. The documentation would not be elevated to the personnel file unless disciplinary action was applied. In 2016, DOCR also implemented a robust Intranet site for departmental employees. Among many other areas, this site provides immediate access to communication, policies, and other information to assist staff in being well informed of all matters related to personnel management.

(Refer to Attachment #2: DOCR Intranet Site Overview)

To further assist in the accuracy of timekeeping and scheduling record keeping, DOCR, in cooperation with the Office of Management and Budget, identified funding necessary to purchase Telestaff, the same automated scheduling system used by all other public safety agencies in the County, to automate its internal scheduling and tracking. This system has been a priority of DOCR for more than eight years. Implementing this software will enhance transparency and establish real-time recordkeeping to alleviate DOCR's current scheduling and tracking challenges that are often paper driven. This program is in the design phase.

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#### Allegations of Improper Supervisory Direction to Not File an Incident Report:

We received information concerning an allegedly unreported incident involving the use of force against an inmate at the MCCF.

Several complainants separately contacted us alleging that three correctional officers entered an inmate's cell following some improper behavior on the part of the inmate.

Related protocols and/or procedures as understood by the OIG through its inquiry into this incident require, at a minimum, that an incident report be filed whenever officers use force with respect to their oversight of inmates.

**CAO Response:** This issue was brought to the attention of Director Green and my office June 5, 2017 (OIG case 17-073). The complaint was made to the OIG on May 4, 2017. I would have expected that matters of life safety would be immediately reported so the department having responsibility can respond quickly to incidents where an individual may require medical care or personal safety is in question. As soon as we were made aware, DOCR immediately initiated an investigation into the complaint. I have determined that DOCR has clear written policies and procedures regarding the Use of Force stating, in part, that all parties who witness or participate in a Use of Force scenario are required to individually complete a written report through their chain of command, and any individual that becomes aware of information regarding Use of Force are required to report that information. The investigation into this matter has been concluded, and all involved parties were responded to appropriately.

#### Decisions of the Merit System Protection Board

As part of its inquiry, the OIG reviewed cases of DOCR disciplinary actions heard by the Merit System Protection Board (Board) for Fiscal Years (FYs) 2013, 2014, 2015 and 2016, the most recent years for which Board cases were reported. We found four cases in which the Board reviewed the merits of DOCR disciplinary actions in rendering its decisions out of a total of ten DOCR related matters reviewed by the Board. The other six matters involved procedural or hiring decisions unrelated to any sort of discipline. Our objective in conducting the review was to identify and acquire a sense of the merit or propriety of DOCR disciplinary actions from the perspective of an independent adjudicator such as the Board.

In two matters, the Board found an insufficient basis for fully upholding the imposed discipline. In the other two matters, the Board found that disparate discipline had been imposed by DOCR in one of the matters and an employee had been misled by DOCR concerning the rights of appeal in another. While we were not able to conclude or find that DOCR's actions in these matters were intentional, we do see how the instant Board decisions can, at a minimum, foster a perception of inequitable administration of personnel by DOCR management in the eyes of DOCR staff, warranting corrective action going forward.

**CAO Response**: All decisions of the Merit System Protection Board are published records. In researching the cases referenced by the OIG, we believe we have identified the four cases referenced. Two decisions are from 2013, one decision is from 2014, and another is from 2015. All occurred under the administration of the previous DOCR Director.

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#### MSPB Case Summaries in Brief

Case 13-04 – This case involved a three-day suspension for an employee who failed to move a vehicle when asked to do so to allow two emergency vehicles to depart the facility and in doing so provided a false statement to supervisors.

The Board reduced a three-day suspension to a two-day suspension. The reduction was based on the charge of false statement to a supervisor not being sustained by the MSPB.

Case 13-08 – The case challenged the director's decision to impose a 10-day suspension to be taken as a 10% within grade reduction for 800 employee working hours. The case also questioned the County's use of temporary employees and the notice of rights to appeal issued with the NODA. The Board denied the appeal of the suspension based on a lack of jurisdiction. The department chose to hold the final action in abeyance and through mediation and a meeting with the employee, Warden, and Union, the action was withdrawn by the director on February 26, 2014.

We will ensure that OHR and County departments present accurately all available options so that employees can decide whether it is possible for them to move forward with any sort of appeal or action. OHR addressed the Temporary Employee issue as noted in this case in 2015 Countywide.

Case 14-17- This case involved the dismissal of an employee who was arrested and charged with crimes associated with the use of heroin while off duty.

The Board ordered the director to revoke a dismissal for a drug paraphernalia conviction and instead demote the individual to the next lowest ranking officer.

Case 15-25- The case involved the excessive use of the Internet by a supervisor while on duty. The director suspended the supervisor for 30 days and demoted the employee.

Although the appellant essentially admitted that he used the Internet in contravention to the County's policy, the Board found the technology used to determine Internet usage (software) was not accurate in one case brining into question the testimony of the DTS Engineer and the accuracy of the technology report. The Board also found the analysis questionable and inconclusive, in part, because of what the Board believed was the vagueness of the policy as to how much time constitutes an excessive amount of time to be on the Internet while on duty. In this matter, the Board upheld the 30-day suspension but rescinded the demotion.

As you know, the administration of supervision in a correctional facility requires a supervisor's presence throughout the facility while that facility is under the responsibility of that supervisor. It is a basic principle of front-line supervision in a correctional facility.

Employees have the right to engage their Union and the ADR process when discipline is applied. They also maintain the right to forgo this process and choose to engage the MSPB as outlined in these cases.

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Comparative Analysis

Statistical information acquired by OIG from DOCR indicated that a significant percentage (17%) of DOCR employees were cited for various disciplinary actions over a two-year period. We were not able to determine if this level of disciplinary actions is excessive or typical of similar institutions. Accordingly, we were unable to draw conclusions on the data provided

CAO Response: All matters of discipline within the County follow a strict review process. This process includes close collaboration with the departmental County Attorney and assigned OHR Labor Specialist and communication with the employee and Union representative. Please note that DOCR works collaboratively with MCGEO Local 1994 and provides routine access for Union representatives to review investigative-related and other information utilized to establish the level of discipline imposed. DOCR could not validate the statistics noted by the OIG, not knowing the process for counting this material. In 2015, the DOCR Director and Administration implemented reviews and metrics to monitor this area and many other facets of DOCR operation in a transparent manner.

Relatedly, we have found that DOCR actively supports the County's ADR because it is viewed as important and essential in ensuring that each employee is afforded the opportunity, along with their Union, to contest the facts and/or propose an alternative level of discipline. DOCR also has a process of resolution prior to the hearing that can be utilized to resolve matters. A review of ADR data is included as an attachment to this response.

(Refer to Attachment #3: DOCR ADR Information 2011-2017)

I have also attached the memorandum sent to DOCR staff detailing the findings and next steps of an Appreciative Inquiry (AI) Intervention that was conducted to identify what DOCR employees need to be engaged, happy, and empowered. DOCR has been actively engaged in many areas of work to implement the principles of the AI. We will continue to support the department's efforts to implement change in the areas identified as important to staff.

(Refer to Attachment #4: Memorandum Regarding AI Findings and Next Steps)

Finally, we remain fully confident in Director Green's leadership to uphold the highest standards while accomplishing the DOCR's mission; in fairly and respectfully managing DOCR's employees; in the lawful supervision of the populations housed within the County's correctional facilities; and in maintaining the overall condition of County's correctional facilities.

Thank you again for your efforts on this memorandum. If you have further questions, please contact Fariba Kassiri, Assistant Chief Administrative Officer, at (240) 777-2512 or Fariba.Kassiri@montgomerycountymd.gov.

TLF:tb

 Fariba Kassiri, Assistant Chief Administrative Officer Robert Green, Director, Department of Correction and Rehabilitation

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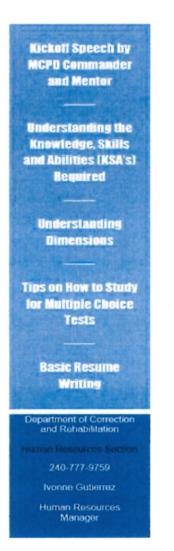
# MENTORING PROGRAM FOR PROMOTIONAL TESTING

#### Training and Tips in Preparing for Examination

The DOCR Human Resources Section is proud to announce the kickoff of the mentoring program for promotional testing in the uniformed ranks and course offering. This new promotional mentoring program will assist employees wishing to prepare for promotional examination within the Sergeant, and Lieutenant ranks. We will start with a kickoff speech by a Montgomery County Police Department Mentor, Police Commander, David Anderson. He will emphasize the importance of addressing KSA's and understanding dimensions. Later, DOCR HR Staff will give Tips on How to Study for Multiple Choice Testing. The class will end with Montgomery County OHR providing the Basics of Resume Writing. Kickoff and Course will be held on Monday, July 10, 2017 in the MCCF Community/Roll Call Room from 8 AM until 4:30 PM.

The Lieutenant's examination is scheduled for Fall, 2017 (September) and the Sergeants will be held during the Spring, 2018 (TBD).

To register, please have your supervisor coordinate your attendance directly with the Training and Professional Development Section (240-773-9708).

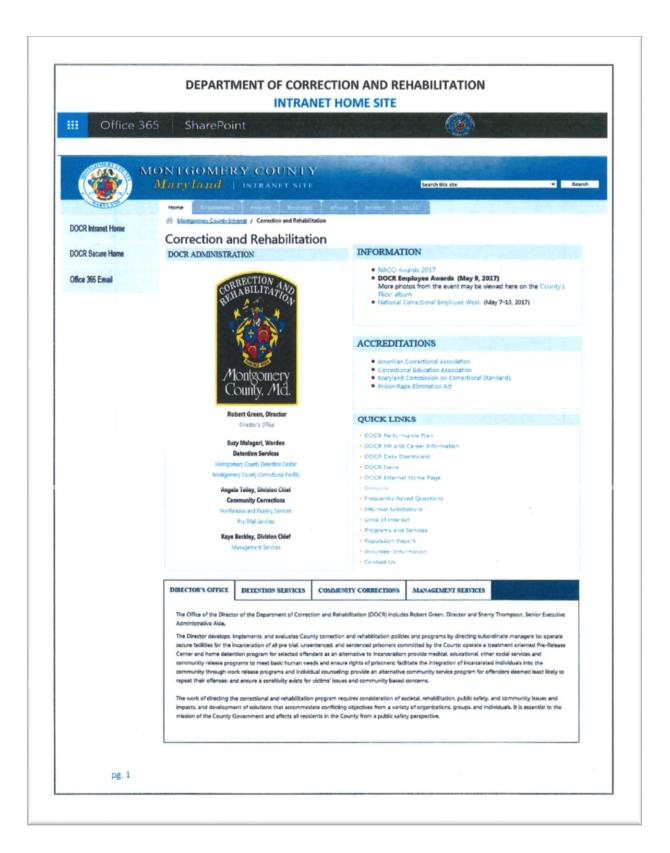


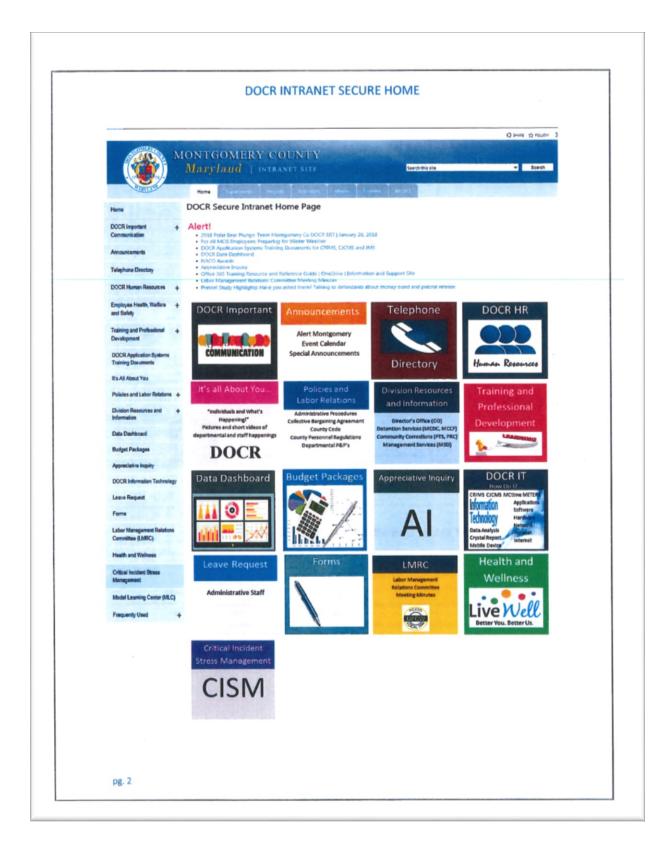
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# MONTGOMERY COUNTY GOVERNMENT DEPARTMENT OF CORRECTION AND REHABILITATION

# DOCR COMMUNICATION INTRANET







#### DOCR IMPORTANT COMMUNICATION

Director Video Communication

DIRECTOR'S VIDEO COMMUNICATION

Welcome to DOCR

Director Blog



Email Communication



pg. 3

## **ANNOUNCEMENTS** Announcements Alert Montgomery DOCR Event Calendar Special Announcements EVENT CALENDAR + + January 2018 MONDAY TUESDAY WEDNESDAY THURSDAY FRIDAY SATURDAY 10 11 12 13 **TELEPHONE DIRECTORY** TELEPHONE DIRECTORY Montgomery County Government Telephone Directories Montgomery County Employee's Phone Directory (Searchable) Montgomery County Phone Book (General Information) **DOCR Staff Telephone Directories** irector's Office Staff Telephone List December, 2016 Sherry.Thompson@montgomery.cou 240-777-9976 June, 2017 MCCF Staff Telephone List Sherry Thompson 240-777-9976 June, 2017 MCDC Staff Telephone List Beth Dart 240-777-9968 Management Services Staff Telephone List 240-773-9745 PTS Staff Telephone List September, 2017 240-777-5406 September, 2017 RRS Staff Telephone List Madhuka Lee 240-773-4241 pg. 4

#### DOCR HUMAN RESOURCES AND TRAINING AND PROFESSIONAL DEVELOPMENT

- · DOCR HR
- DOCR Current Job Openings
- DOCR Classification Information
- Supervisory Resources
- Essential Employee List
- · Who to Contact

# DOCR Human Resources + DOCR HUMAN RESOURCES AND TRAINING AND PROFESSIONAL DEVELOPMENT

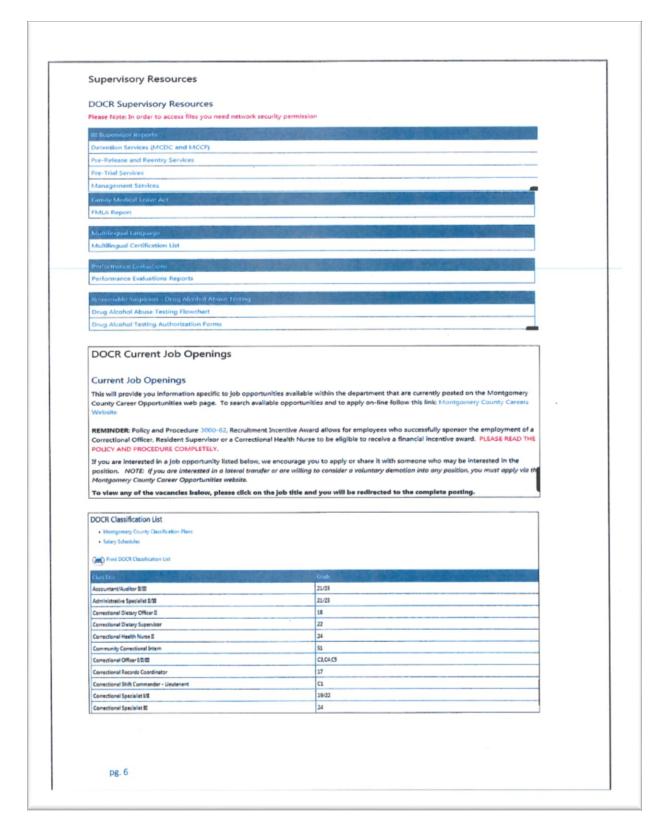
The Human Resources, Training and Professional Development team conducts new employee orientation, supervisory training, specialized training that focuses on the job that an employee currently holds or may potentially hold in the future. In addition to developing employees, the team is responsible for employee relations, labor and management relationships, performance management, recruitment, backgrounds and staffing logistics to include attracting, hiring, promoting individuals. In providing these services, this section is dedicated to clear communication, progressive thinking, and resourceful solutions toward meeting departmental goals and objectives. They take a leadership role in providing services that support the Department by promoting the concept that employees are the cornerstone to DOCR's success. Therefore, the team designs short and long term workforce development strategies within the department to enhance the fulfillment and performance of employees.

#### MISSION STATEMENT

The Department of Correction and Rehabilitation's Human Resources, Training and Professional Development Section is committed to providing quality services in a professional and consistent manner. In providing these services, this section is dedicated to clear communication, progressive thinking, and resourceful solutions toward meeting departmental goals and objectives.

#### WHO DO I CONTACT IN DOCR HUMAN RESOURCES AND TRAINING AND PROFESSIONAL DEVELOPMENT

pic	Contact Person
Oversight and Management of HR Section and Training and Professional Development Unit.     Organizational, Supervisory and Leadership Development.     Labor Relations and Bargaining     EEOC     Net Annual Work Hours (NAWH's)/CountyStat	Ivonne Gutierrez Human Resources Manager DOCR Human Resources Section, Background Investigations and Training and Professional Development Ivonne Gutierrez@montgomerycountymd.gov 240-777-9985
Personnel/Oracle Transactions Hites Promotions Job Advertisements	Ardell Simmons Human Resources Assistance Ardell Simmons@montgomerycountymd.gov 240-777-9965
Instructor Development Instructor Certification CPR/First Aid/AED Department FirearmsRelated Items/Questions/Comments/Concerns etc Enrollment in Apprenticeship Program Academy Registrations Promotional Examinations (PFC, CPL, Firearms) General Training Questions/Concerns	Daedra Carrio Westby Training Manager Daedra Carrio@monigomerycountymd.gov 240-773-3305
Background Investigations for Applicants, Contractors, Volunteers and Interns     Job Fairs	Pattle Humphrey Background Investigator Patricia-Humphrey@montgomerycountymd.gov 240-773-9722
General Training and Staff Development Topics     Field Training Officer Program     Private First Class and Corporal Promotional Information and Testing	Sgt. Kirk Day Departmental Training Coordinator Radio Administrator Kirk Day⊕montgomerycountymd.gov 240-773-3304



#### **EMPLOYEE HEALTH WELFARE AND SAFETY**

#### Employee Health, Welfare + Family Medical Leave Act (FMLA) and Safety

- Family Medical Leave Act (FMLA)
- Compensation / Light Duty
- · Parental Leave
- · Sick Leave Bank
- · Who to Contact

The Family Medical Lares dat is a Februal Law, executed in 1991, this provises slighte employees up to \$2 notineests or 400 hazor of unable (here over) leave for the employee's soon and employee's feetly member medical reasons. This may also be granted to 61/31/4 employees for their own and family member's originary (writer military day) or deployment and orealized over reasons for up to next.

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- No this simplifying as for military family mamment.

  But makes in the control of the control of

#### Workers Compensation Light Duty

#### Montgomery County Workers Compensation and Light Duty

#### Workers Compensation

ofampameny County employees whe ere injured on become ill while working should report their injury or illness to their aupenisor. The employee's supenisor should, in turn, report the in liness to the country Third Perry Claims Administrator. Carriel he Eleas to the County's Third Farry Claim & Administrator. Control bid.

All Injuries or Directors that document of County employees white vending should be repetited to Control.

All Injuries or Directors that document of County employees white vending should be repetited to Control.

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#### Light Duty

- Light Duty

  If the employee is recommended to return to work in a light duty status by their medical professional, they must provide that documentation to their supervisor immediately.

  Light Duty assignments can be assigned to employees with Worker's Comp and non-Workers Comp injuries (Immease.

  Employees with how Worker's Comp injuries are illnesses must non't light Duty when approved. Employees with Non-Workers Comp injuries may choose to request to use their own leave irrelead of working Egoble though the proceed to County Occupational Medical Services (CMS) et their first available apportunity, bringing their medical documentation.

  Olds is upon for valuables \$-200, Mann-Mr. No appointment is required.

  The employee will meat with the Employee Medical Examiner (EMS) and their condition will be evaluated. They will be given a completed Health Status Review (HSR) sheet by the BME after the review is completed.

  The HSR disks the final determination for employee work status and light duty. An employee's Doctor can only make recommendation, for consideration by the EME in his/her determination.

  The HSR disks the final determination for employee work status and light duty. An employee's Doctor can only make recommendation, for consideration by the EME in his/her determination.

  The HSR disk the final determination for employee work status and light duty, and in what capacity. If light duty is recommended, the HSR will specify restrictions.

  The HSR Case Manager will assign a light duty position to the employee about one determination in the commendation of the County Light Duty Review Committee.

  The HRR Case Manager will assign a light duty position to the employee is submitted out the County Light Duty Review Committee.

  The HRR Case Manager will notify the employees's supervisor of the Light duty sequent to the County Light Duty Review Committee.

  The HRR Case Manager will notify the employees's supervisor of the Light duty assignment, and will forward all documentation to the Expertiser.

#### Montgomery County Seif-Insurance Program

#### Parental Leave

Requesting Parental Leave in DOCR is a two-step process. The first step is to request FMLA Parental leave from the OHR FMLA Office (see FMLA instructions).

- equating Personal serve in DOCK is a sour-step process. The first step is to request PIALA Personal serve from the OPIA Principle of the Interviews of the PIALA request prior to applying for Parental Leave entities DOCK, the employee will be introduced to do so, and their Departmental Request unit not be considered with after their PIALA request submission has been verified.

  To submit a Departmental Perental Leave Request (DPLR), employees may ask their outpervisor for the request form. This form may vary by Division within DOCR, but the information contained on the reguest forms is considered.

  See the following page for a template DPLR form that may be used if the employees' supervisor is unavailable or unable to provide at the time of request.

  Request for Parental Leave should be made at stead 20 days in advance.

  Once the DRL has been completed, the employee should submit to their supervisor, who will move the request up the chain-of-command. The request will be considered, and the employee will be notified of fine determination of their request.

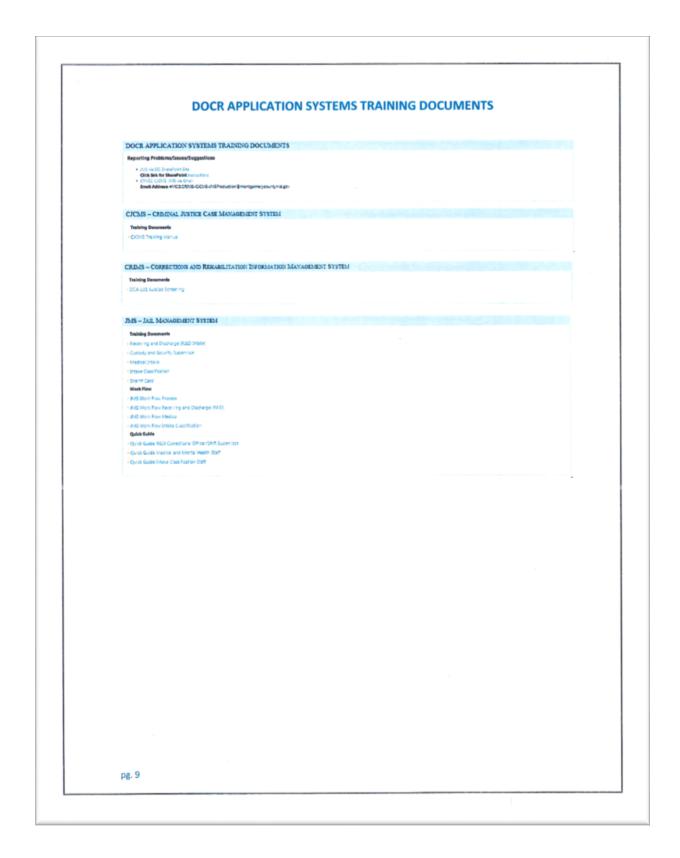
#### Sick Leave Bank

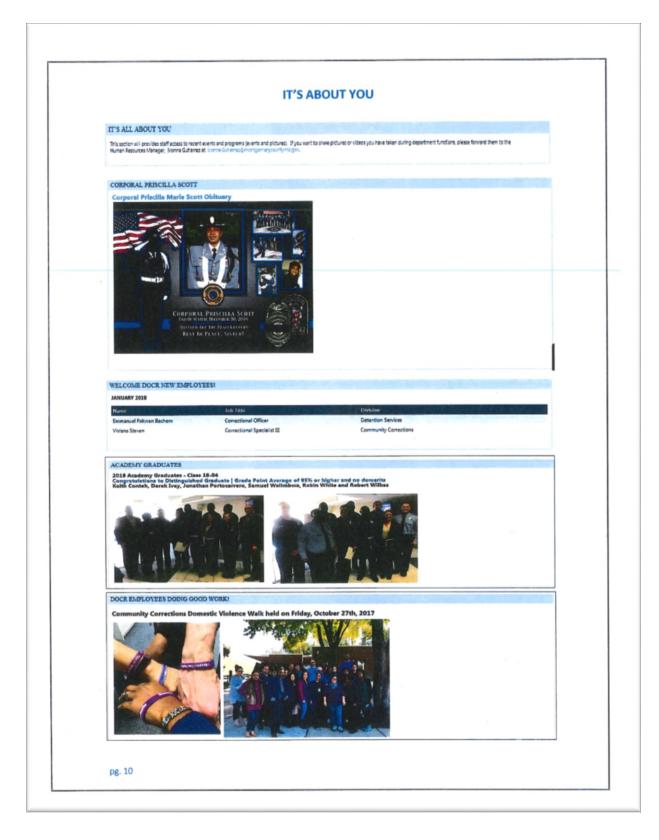
The Sick Leave Bank (SLB) is available to represented County Employees only. The SLB is designed to provide employees with peld leave for extended periods of time due to linear or disability after to make distributed all of their own leave.

- Employees must be in men't statut to be eligible for the \$1.8.
   Againserated employees must contribute from their leave its join the \$1.8. The current contribution amount is 8 hours of the employees' sich leave.
   Employees who do not much up on \$2.8 may got not suring the designated up-out period only. Set link for apit out form.

- Deplayes who do not wait to give the \$10 may get but during the disprets of give any processory, 200 minus may never where the processor of th

## TRAINING AND PROFESSIONAL DEVELOPMENT Training and Professional + TRAINING AND PROFESSIONAL DEVELOPMENT Development Mission Statement The Training Section, a unit of the Montgomery County Correctional Facility (MCCF), located in Boyds Maryland (near Clarksburg), provides a variety of training services, field support, and Training Information administrative functions for the department. This Section is primerily responsible for developing and implementing a comprehensive annual training plan for all Department of Correction and Training Calendar Training Courses The Section is responsible for the following: Develop, Implement, and evaluate new training programs Write promotional exam material for all uniformed ranks Teach or facilitate departmental in-service programs Training PowerPoint & Videos New Employee · Firearms instruction; armory service Conduct an orientation program for new staff Facilitate and host agency training conferences and satellite conferences Orientation · Who to Contact WHO DO I CONTACT IN TRAINING AND PROFESSIONAL DEVELOPMENT Oversight and Management of HR Section and Training and Professional Development Unit bonne Gutierre: Organizations Supervisory and Laddership Development Labor Relations and Bargaring SECC Homes Resources Manager Internal Work House (UNIVITA)\*CountyStat 240-777-4985 Instructor Devalopment Instructor Certification CRIMFINE Aliable CRIMFINE Aliable Department Finance Related Nemal Questions/Comments/Concerns atc Encollement in Appoint Certification Finances of Septiments Assistery Registrations Finances of Septiments (FPC, CFI, Finance) General Training Questions/Concerns Daedra Carrio Westby Training Manager Cisedra Carrio & monigomeny county mid.go. 240-773-3305 General Training and Staff Development Topics Field Training Officer Program Private First Class and Corporal Promotional Information and Testing Sgt. Kirk Day Departmental Training Coordinator Radio Administrator 240-773-3304 Training Courses County Mandatory Training Courses for Employees and Supervisors Altang with departmental mandated training. Mentgamery County Government is committed to helping comply with all applicable lank and standards. All employees are required to take the foll County mandated training requirements. You can find a complete list of training courses and information on how to register for them HTP L. Departmental Course Offerings This not enhaustive list represents some of the in-house offerings DOCR has for staff. Our partners are always welcomed to attend training. If you want to view the calendar for DOCR training, you will find that HICE. UI classes marked with (\*) are considered mandatory and most be taken annously for certain job classification. - Basic Mental Health in Corrections—Own-line of Mental Health disorders still may encounter. \*\*Ill's for Healthcan Provider—Ball's Respect (\*78 for Medical Staff CIT Training—Criss Indiamention Training provides by MCROPHHS. This is a 1 week course for certification. \*\*Correctional Status—mandatory for all staff. Cores BET 1. CC. par PREA. Criss Indiamention—For CRM Employees, Basic Training. \*\*Cores Indiamention—For CRM Employees, Basic Training. \*\*Defended Extracts Hour physical course providing staff with undestanding of defendes tactics techniques. Dissiplicary Process Training—Bapan Winting Stocking on Detention Services staff. \*\*Effective Communication Skills—Bayand verbal skills, how to use non-verbal skills white communicating. \*\*Entity Level Finames. \*\*Entity Level Entermina. \*\*Entertive Communication Skills—Bayand verbal skills, how to use non-verbal skills white communicating. \*\*Entertive Communication Skills—Bayand verbal skills, how to use non-verbal skills white communication. \*\*Entertive Communication Skills—Bayand verbal skills, how to use non-verbal skills white communicating. \*\*Entertive Communication Skills—Bayand verbal skills, how to use non-verbal skills white communication. \*\*Entertive Communication Skills—Bayand verbal skills, how to use non-verbal skills white communication. \*\*Entertive Communication Skills—Bayand verbal skills. \*\*Entertive Communication Skills—Bayand verbal skills—Bay All classes marked with (") are considered mandatory and must be taken annually for certain job classifications. New Employee Orientation New Employee Orientation Information Training PowerPoint & Videos S. S. A. L. pg. 8





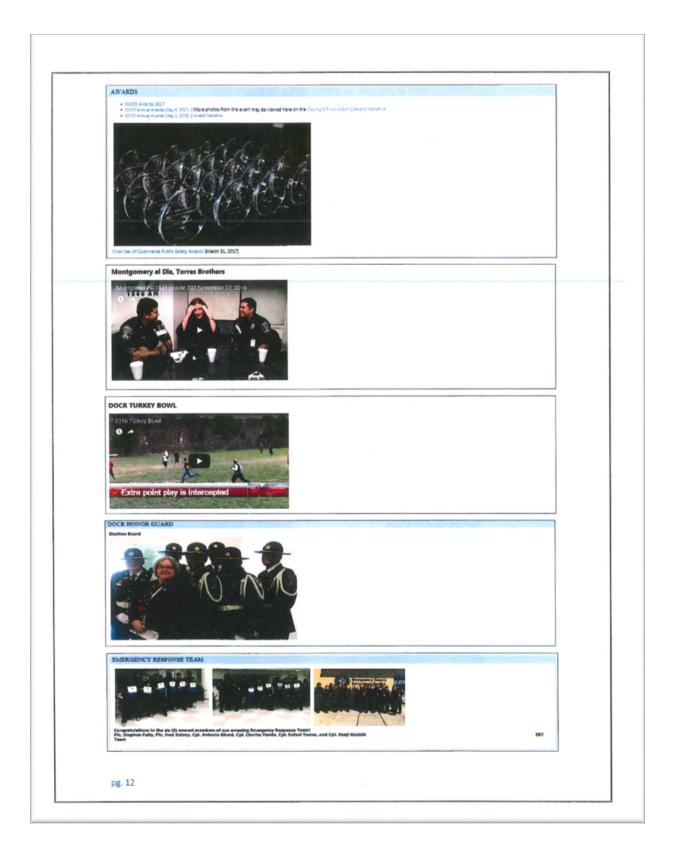




# Charity Basketball Game A huge harkyou goes out to the following emproyees who voluntarily participated in a charity basistant game heat at Montgomery Sain High School, housed by the Montgomery County Paritie. Marcos Table, Blands Alexa, Julian Chee, Toggere Kingston, Jeffrey Bottleworth, Warren Wellingfood, Kenjil Konfelsh, and Stephen Fullz attended, and neer time randomly selected to be pixed on one of 18 of Bienet teams competing in the ownth. These teams are comprised of illusters who attended the high school, as one as individuals from other law enforcement agencies. This presented a great opportunity to provide our youth with the chance to intered with committee and involves rate modes, as well as an appeal free to see examples of public safety in a positive light. It was even running that Col. One's team indicate the first...!







# **POLICIES AND LABOR RELATIONS** DEPARTMENTAL POLICY AND PROCEDURES Policies and Labor Relations + Detention Services | MCDC & MCCF · Departmental P&P's County Personnel Regulations Administrative 1300 Procedures MCDC Officer Meal Relief Shift #1 | MCDC Officer Meal Relief Shift #2 | MCDC Officer Meal Relief Shift #3 · Collective Bargaining Agreement MCCF Post Orders MCCF Post Orders Mental Health Step Down and Protective Custody - Effective April 28, 2017 · Union Information MCCF Officer Meal Relief New Polices and Change Orders DOCR and Detention Services | MCDC & MCCF • 1100-05 - Duty Schedules and Relief Schedules 2017 Staff 1200-05 - Telephone Access, Mail and Visitation - Attorney Letter Head do not record 1300-19 - Searches Cellsense 1300-24 - Oparation of the Central Processing Unit Medications 2017 CRAMPON POLICY AND POST ORDERS MCDC & MCCF MCDC PO #05 - Receiving and Discharge Crampons MCDC PO #11 - Central Processing Unit Crampons MCCF PO #13 - H.E.R.O Crampons MCCF PO #15 - Perimeter Officer Crampons 1100 - 04 - Accident Prevention Crampons MCDC POST ORDERS ADDITIONS Addition of Position Added to the MCDC Post Orders Intake Control NEW INMATE DISCIPLINARY SANCTIONS HANDCUFFING Additional Changes to the Disciplinary Matrix Amendments to Disciplinary Offenses 1300-27 - Pepperball Launching System 3000-74 - Active Assailant Situation Response PRRS RETURNS MCDC & MCCF 1200-12 - Medical and Dental Services 1200-28 - Accepting Injured or Impaired Detainee's/Defendants 1300-12 - Receiving. Temporary Release, and Discharge 3000-08 - Pre-Release Services 3000 Departmental Policy and Procedures Pro-Rolouse and Reentry Services 1 Legal Requirements and Emergency Pri 10 Daily Supervision of Residents 20 Programming Residents 30 Counseling Residents 40 Alcohol, Drug, and Medical Program 40 Confession of Community Recourses 70 Rules and Regulations 80 Intake, Discharge, and Parole Procedures 90 Other Operational Components pg. 13







es many aspects of the daily operations that occur in the Office of Human Resources. Also included in this section you will find Information on Disability Case Management, Occupational Medical Services

Choose from a tab below to get started.

Management Guidance Bulletins

Disability Case Management

Provides employees covered by a Collective Bargaining Agreement their most up to date version of the document for each respective union in Montgomery County

#### UNION INFORMATION

UFCW Local 1994 MCGEO
 600 S. Frederick Avenue, Suite 200
 Gaithersburg, Maryland 20877
 Telephone: 301-977-2447 | FAX: 301-977-6752

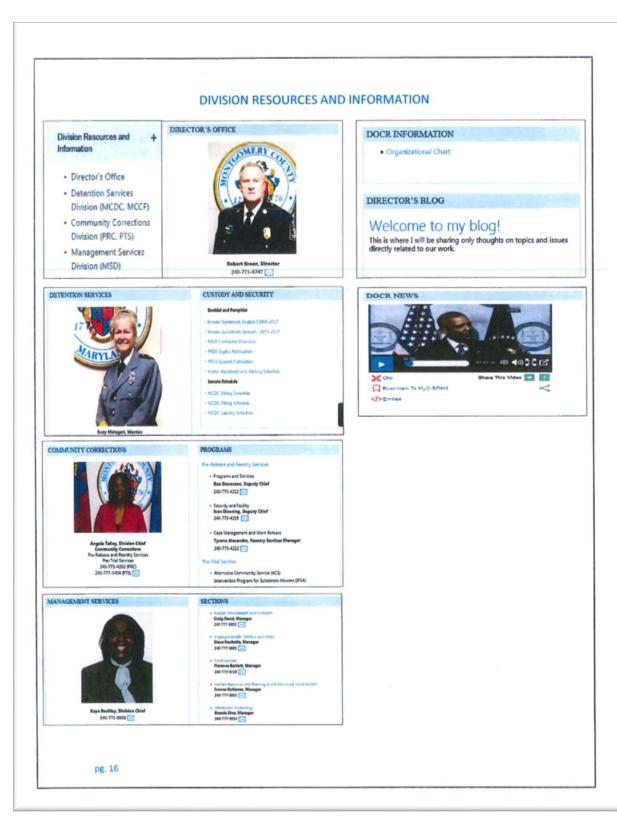
Associate Coordinator/Field Services Representative L. Wilsonia-Blackwell, Esq. LWilsonia-Blackwell@mcgeo.org

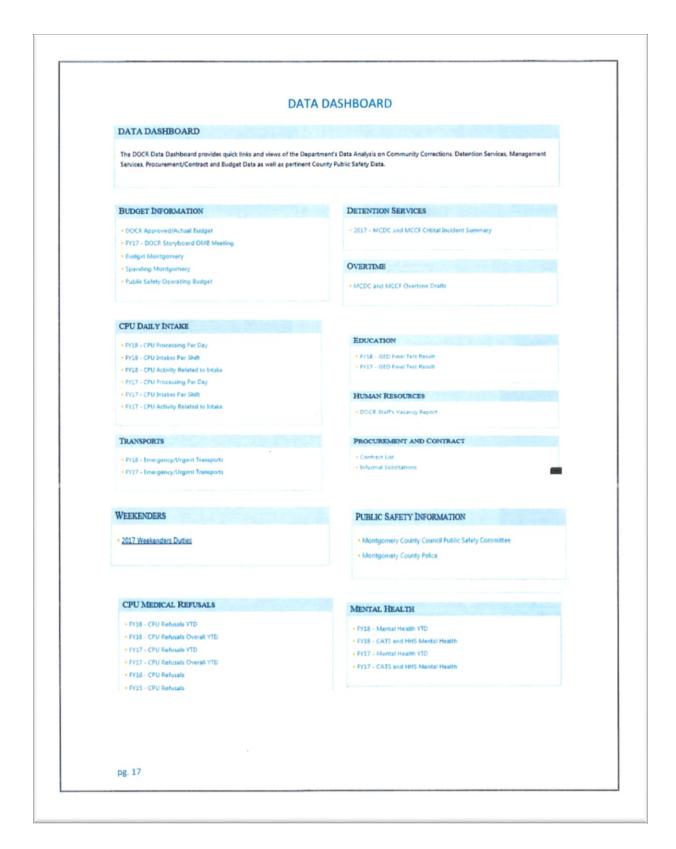
#### DOCR SHOP STEWARD CONTACT

#### **Detention Services**

Montgomery County Detention Center | 240-777-9810

Contact Person	Location	Email
Solomon Ajibade	MCDC	Solomon.Ajibade@montgomerycountymd.gov
Peter Gang	MCDC	Peter.Gang@montgomerycountymd.gov
Alinda Nash	MCDC	Alinda.Nash@montgomerycountymd.gov
Carlos Taylor	MCDC	Carlos.Taylor@montgomerycountymd.gov
John Vogelpohl	MCDC	John.Vogelpohl@montgomerycountymd.gov





#### **BUDGET PACKAGES**

#### BUDGET PACKAGES

- FY1
- FY1
- FY.
- FYI
- FY1

#### BUDGET PRESENTATIONS

FY17 - DOCR Storyboard OMB Meeting

#### APPRECIATIVE INQUIRY

#### APPRECIATIVE INQUIRY

8/24/2017

Our working motto is 'Every Voice Matters'. Appreciative Inquiry (AI) focuses the search for the best in people, the organization, and the relevant world around us. At involves the art and practice of asking questions that strengthen a system's capacity to apprehend, anticipate, and heighten our positive potential. At looks at organizations not as problems to be solved, but as places of endless human potential, ideas and innovations that can collectively create a better future for all. AI is an inclusive and transparent style of discussion to belp make valuing everyone a reality. In AI are advantaged in intervention gives way to the speed of imagination and innovation; instead of negation, criticism, and spiraling diagnosis, there is discovery, dream, and design. If you have any questions, please contact Barbara Talley at aleveryoricematters@gmal.com

#### 

#### **LEAVE REQUEST**

Second Promotional Maintenance Program



pg. 18

#### LABOR MANAGEMENT RELATIONS COMMITTEE

#### LABOR MANAGEMENT RELATIONS COMMITTEE (LMRC)

Labor Management Relations Committee (LMRCs) are joint committees that offer the parties the opportunity to guide the labor-management relationship in a positive direction, to facilitate communication between the parties, and to address issues and concerns in a proactive fashion. LMRCs manage the labor-management relationship during those periods between contract negotiations periods. An effective LMRC can be an investment that can help avoid the expenditure of much greater resources in conflict, miscommunication and litigation. The MCGEO-Montgomery County collective bargaining agreement (CRA) requires that there be a County-wide LMRC and LMRCs at the department level. The existence of division-level LMRCs satisfies the requirement for a department LMRC.

#### DETENTION SERVICES LMRC MEETING MINUTES

- February 8, 2017
- \* August 23, 2017
- \* August 24, 2016

#### COMMUNITY CORRECTIONS LMRC MEETING MINUTES

#### GRIEVANCE

SUBJECT	DATETILED	CAO RESIGNEE	UNION REPRESENTATIVE		
Sick Leave Restriction Notice Grievance	04/26/2017	George Lacy	Lisa Blackwell		

#### **HEALTH AND WELLNESS**



#### CRITICAL INCIDENT STRESS MANAGEMENT

#### CRITICAL INCIDENT STRESS MANAGEMENT

The CISM team provides employees with immediate confidential support following incidents with significant emotional distress. We also work to help the department build resistance to distress and increase employees' abilities to bounce back from distress by educating and encouraging employees to engage in stress management and positive coping skills.

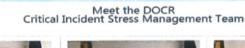
#### RESOURCES

Title	Date Updated
CISM Notification Memo	11/25/2015
CISM Policy 3000-60	8/7/2015

#### Publications

- 7 Ways to Prevent Holiday Stress For Your Children Harvard Health Blog Harvard Health Publishing
- 8 Ways to Keep Fit Outside the Gym This Winter
- · A Holiday Advisory For Your Emotions Harvard Health
- Boost the Health of your Holiday Buffet Harvard Health
- Mini-Relaxations to ease Holiday Stress Harvard Health Blog Harvard Health Publishing
- Stay Mindful with 4 Tips for Holiday Eating

### CISM TEAM Meet the









#### FREQUENTLY USED PHONE NUMBERS

DOCR Frequently Used Telephone Numbers				
Topics	Telephone Number			
CATS (Main)	(240) 777-9846			
СРИ	(240) 777-9795			
District Court Clerks	(301) 279-1461			
Expediter (MCCF)	(240) 773-9810			
Gaithersburg Parole and Probation	(240) 386-2600			
Help Desk	(240) 777-2828			
Intake Control (MCDC)	(240) 777-9811			

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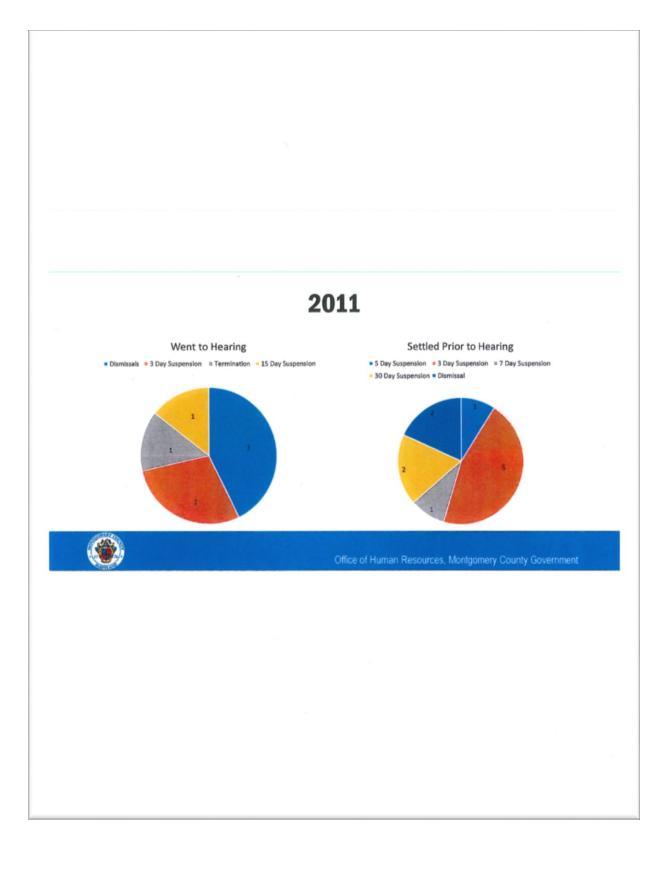


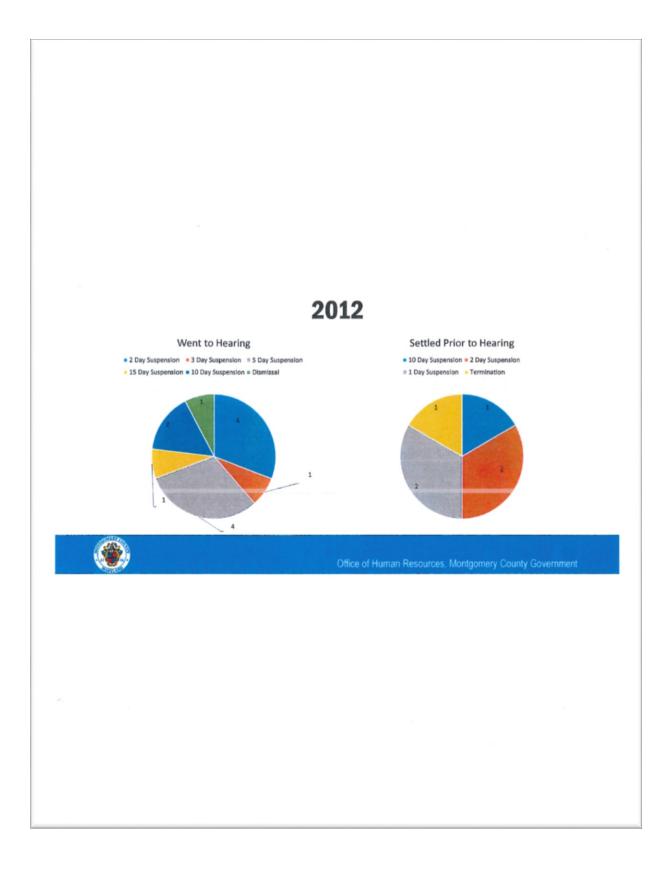
For Years 2011 through 2017 to date

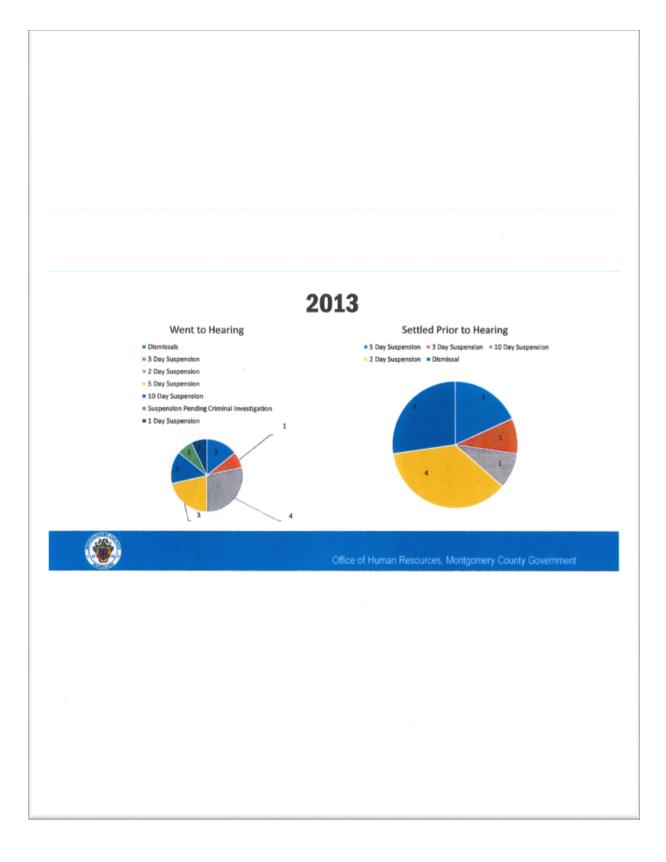


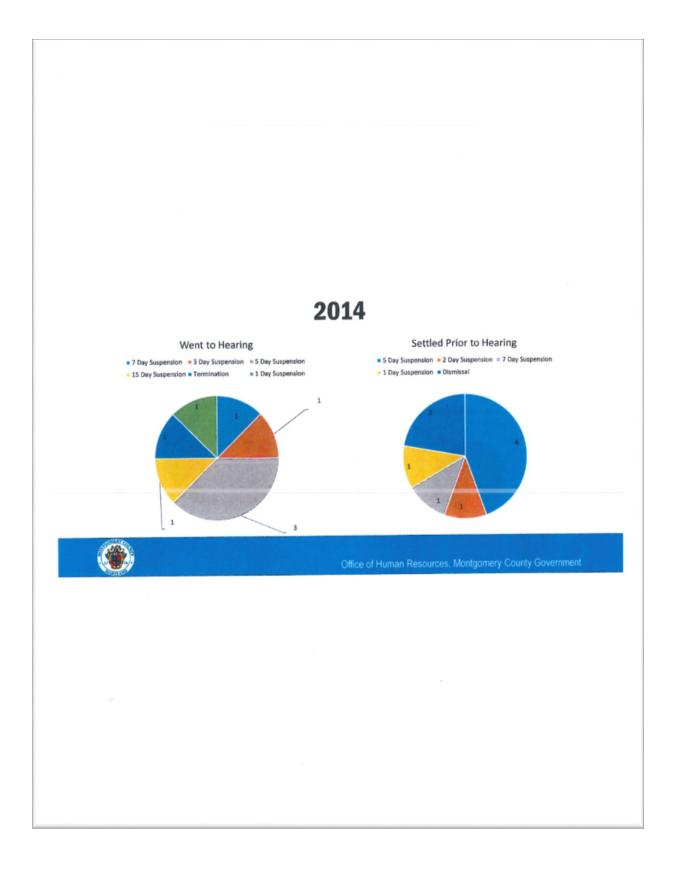
Office of Human Resources, Montgomery County Government

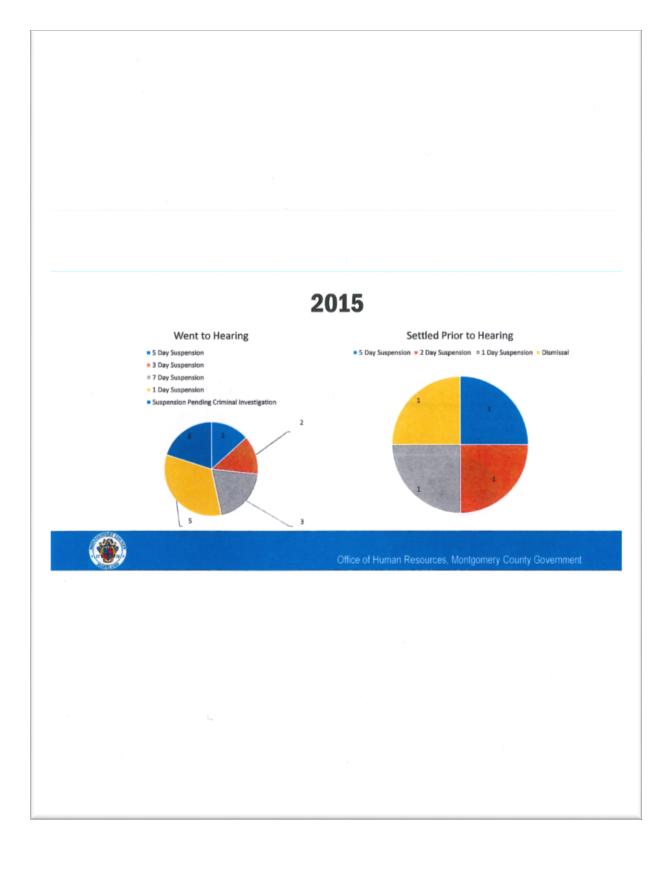
## **Total Number of Hearings Requested to ADR DOCR ADR Information** ■ Hearing ■ Settled Prior to ADR 2012 2013 2014 2017 to date



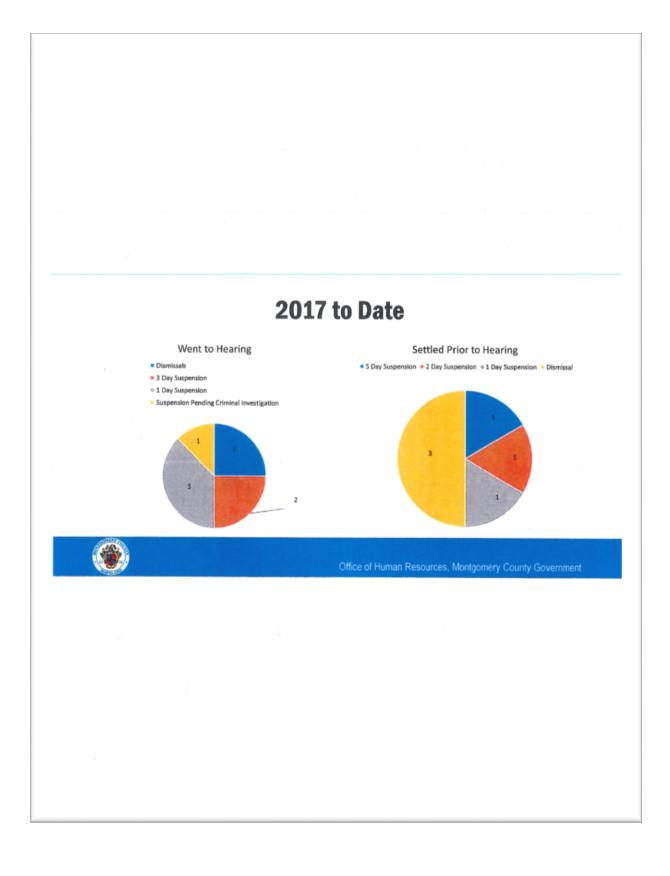








### 2016 Went to Hearing Settled Prior to Hearing ■ 10 Day Suspension ■ 3 Day Suspension ■ 2 Day Suspension Dismissals 1 Day Suspension a 3 Day Suspension 2 Day Suspension Suspension Pending Criminal Investigation = 7 Day Suspension ■ 10 Day Suspension ■ 5 Day Suspension



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#### OFFICE OF HUMAN RESOURCES

Isiah Leggett County Executive Shawn Y. Stokes Director

#### **MEMORANDUM**

Wednesday, March 08, 2017

TO:

Department of Corrections and Rehabilitation, Montgomery County Correctional Facility Staff

FROM:

Shawn Y. Stokes, Director

Office Human Resources

FROM:

Gino Renne, President

MCGEO, Local 1994

SUBJECT: Appreciative Inquiry Findings and Next Steps

In July 2016, Barbara Talley of Montgomery College began conducting an Appreciative Inquiry (AI) Intervention at the Department of Corrections and Rehabilitation (DOCR) Montgomery County Correctional Facility (MCCF). The objective of the AI intervention is to identify what employees need to be engaged, happy and empowered. We wanted to implement a revolutionary change process that involved everyone, heard everyone and appreciated everyone. The provocative proposition – or desired outcome – for this change process is, Every Voice Heard; Every Voice Matters!

Thank you to everyone who participated in the interview and focus group sessions. We heard from an overwhelming 91% of the MCCF employees. Your input and feedback will help shape the future of MCCF. I would also like to thank Barbara Talley, of Montgomery College, for leading the AI intervention and coordinating the effort to engage MCCF employees.

The first phase of the AI intervention was the listening phase. We heard your concerns, suggestions and what matters most to you. The chart below highlights the 35 topics identified by MCCF employees and shows the combined totals of all votes for a topic, based on 1,333 total votes cast in the interviews and focus groups during the *colored dot* exercise. The column labeled *Voted Most Important* includes the number of votes cast using a single big colored dot. Participants were also given small colored dots to prioritize the topics and had the

option of placing all their votes on one topic or spreading the votes out among the topics, ranking them in order of importance (e.g., yellow ranked first, green ranked second, etc.).

	Voted Most Important	Yellow 1st	Green 2nd	Red 3rd	Blue 4th	Purple 5th	Totals	%
1. Leudership	38	11	15	12	20	13	109	7%
2. Fairness	27	14	12	10	18	16	97	6%
3. Scheduling	25	19	14	18	13	11	87	6%
4. Camaraderie	9	11	12	9	15	21	77	5%
5. Trust	16	12	11	9	12	6	66	4%
6. Communications	7	6	12	13	14	11	63	4%
7. Money	11	16	11	8	9	5	60	4%
8. Respect	15	10	6	11	6	10	58	4%
9. Retirement	9	17	9	7	8	8	58	4%
10. Safety	5	9	13	11	8	9	55	4%
11. Leave	4	7	12	9	10	4	46	3%
12. Accountability	6	9	4	15	8	3	45	3%
13. Appreciation	8	4	9	8	8	7	44	3%
14. Life Outside Job	7	13	10	4	6	3	43	3%
15. Staffing/Resources	11	4	10	5	3	6	39	3%
16. Work/Life Balance	7	7	10	3	3	6	36	2%
17. Promotions	4	3	5	9	3	7	31	2%
18. Inclusion	6	3	2	2	6	9	28	2%
19. Integrity	7	2	4	3	2	8	26	2%
20. Career Growth	4	3	5	5	3	5	26	2%
21. Training	2	6	3	5	8	1	25	2%
22. Racial Equality	11	3	5	5	0	0	24	2%
23. Honesty	1	4	4	4	5	6	24	2%
24. Freedom	1	2	7	3	5	5	23	1%
25. Morale	3	1	4	5	7	2	22	1%
26. Wellness	4	4	3	3	3	3	20	1%
27. Lead Traits	6	1	4	4	0	4	19	1%
28. Engagement	2	1	4	5	1	1	14	1%
29. Image	2	1	2	3	2	4	14	1%
30. Happiness	3	3	0	4	0	3	13	1%
31. Service	1	0	2	2	4	2	11	1%
32. Admin Flex/Telework	1	1	1	1	2	3	9	1%
33. Autonomy	2	3	0	0	1	2	8	1%



The next phase of the AI intervention is the action phase when we begin to address the issues that you identified. Your role, as an MCCF employee, is to become a part of implementing the change that you would like to see. Additional data will be available in training sessions and to those engaged employees who want to help move the organization forward.

Ms. Talley will help move this effort forward with you, Director Green the DOCR MCCF leadership team and other outside stakeholders as necessary. Your participation in this process is key to its success and the work cannot be accomplished without you. I encourage you to get involved with the action teams that will be created to begin addressing the topics. If you have any questions, or if you'd like to volunteer to participate in some way, please contact Barbara Talley at <a href="mailto:ai.everyvoicematters@gmail.com">ai.everyvoicematters@gmail.com</a>.

We are excited about work ahead and look forward to seeing the MCCF team continue to build a thriving culture.

# If you are aware of fraud or misconduct in County government activities, contact the County Inspector General



Confidential OIG Hotline: 240 777 7644

□ ig@montgomerycountymd.gov

Edward L. Blansitt III Inspector General

51 Monroe Street, Suite 802 Rockville, Maryland 20850 240 777 8240 montgomerycountymd.gov/oig